

Regulatory Information

Mackenzie Investments Europe Limited

Registered Office:

3 Shelbourne Buildings, Crampton Avenue, Shelbourne Road, Ballsbridge, Dublin 4, D04 C2Y6, Ireland

Directors: David J. Coyle; Luke Gould (Canada); Michael Horgan; Lesley Marks (Canada); Paul Turpin.

Company Registration No. 201041

Mackenzie Investments Europe Limited is regulated by the Central Bank of Ireland.

Registered with the Securities and Exchange Commission of the United States, File #. 801-108302.

Contact: sbedard@mackenzieinvestments.com

Terms of Business

Terms of Business effective 31 August 2020

Mackenzie Investments Europe Limited is regulated by the Central Bank of Ireland and is authorised to provide the following investment services:

- Reception and transmission of orders
- Execution of orders
- Investment advice
- Portfolio Management

Mackenzie Investments Europe Limited provides investment services solely to sophisticated investors who meet the definition of 'PROFESSIONAL CLIENT' within the meaning of Directive 2014/65/EU and equivalent definitions, where relevant, in other jurisdictions.

Conflicts of Interest

We have a conflicts of interest policy in place to ensure that conflicts of interest are identified and avoided or otherwise suitably managed to prevent damage to the clients' interests. Where we feel that we are unable to ensure, with reasonable confidence, that the risk of damage to a client's interest can be avoided, we will disclose to the client a description of the conflict in sufficient detail to allow the client to take an informed decision as to whether to proceed with the investment service. In certain circumstances, we may refuse to commence, or may terminate, a client relationship, where the conflict cannot be managed effectively. For further details of our conflicts of interest policy, please contact us using the details above.

Execution Policy

We have a policy to ensure that we act in accordance with the best interests of our clients when placing orders in financial instruments and we will take all sufficient steps to achieve the best possible result for clients, taking into account the following factors: Price, speed, size, nature, likelihood of execution, likelihood of settlement, costs, the impact on market prices of displaying and placing orders for execution and any other consideration relevant to the execution of the order.

We will generally give the highest relative importance to price. However, Best Execution does not necessarily mean the most favorable price. We will endeavor to obtain the best execution possible given all the facts and circumstances including:

- The size of the order;
- Liquidity available;
- The trading characteristics of the security or asset class;
- Market conditions;
- The availability of accurate information affecting the trade;
- The availability of technology and the trade-offs between confidentiality, information leakage, ability to execute and opportunity costs;
- The opportunity for price improvement.

We follow a trading process and adheres to compliance standards including ongoing reviews of execution quality, measured post trade with trade cost analytics.

A list of the execution venues that we may use is available in our Execution Policy, which you may obtain on the “Regulatory Reports and Disclosures” section of our website.

SRD II – Engagement Policy

In accordance with the second Shareholder Rights Directive (“SRD II”), we have published an Engagement Policy, which explains how we engage with investee companies and incorporates information on our Group-wide Responsible Investing initiatives. This policy can be obtained on the “Regulatory Reports and Disclosures” section of our website.

Complaints

We have in place a procedure for the handling of complaints which provides that complaints will be appropriately recorded, investigated and resolved. If you have reason to complain about the provision or non provision of a product or service, please contact us using the details on our contacts page, or write to us at our registered address, marking your communication for the attention of the Head of Compliance.

Investor Compensation Schemes

Investment management contracts with Mackenzie Investments Europe Limited are not covered by any investor compensation schemes.

Fees & Charges

For details of the fees and charges applicable to our investment programmes, please contact us using the details above.

Privacy

We do not collect any personal data about you on this website and will make no attempt to identify individual visitors, or to associate the technical details listed below with any individual.

Technical details in connection with visits to this website are recorded by our parent company, Mackenzie Financial Corporation, for statistical analysis. These technical details include the following items:

- the general geographic location of the visitor;
- the top-level domain name used, which may identify the website visitor's Internet Service Provider;
- clickstream data which shows the traffic of visitors around this web site such as pages accessed;
- the type of web browser, operating system and device used by the website visitor.

Neither we nor Mackenzie Financial Corporation disclose such technical information in respect of individual website visitors to any third party, unless obliged to disclose such information by legal or regulatory authority.

We have in place a policy in relation to our use of personal data. Please refer to our Privacy Notice on the "Regulatory Reports and Disclosures" section of our website.